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Message from the President

In May I attended a remarkable NBR event in Shanghai that symbolized the progress our young organization has made over the past ten years.

It was the second in a series of conferences designed to help China establish an intellectual property rights regime. For this ambitious multi-year undertaking NBR succeeded in raising very substantial support not only from most of the American computer industry but also from Legend, China's leading computer company.

Further, NBR was successful in enlisting two extraordinary specialists to lead the project. Professor Michel Oksenberg of Stanford is a leading scholar of Chinese politics widely recognized in China for his key role in the development of Sino-American relations. Professor Pitman Potter of the University of British Columbia Law School is a leading Chinese legal scholar with a special interest in intellectual property law. For the setting of this conference NBR had joined with one of the most prestigious institutions in the region, the Shanghai Academy of Social Sciences.

I thought the timing of the conference, on our tenth anniversary, was auspicious, but when I arrived in Shanghai I was met with news that left me doubting the conference would even take place. Hours before, NATO's appalling accidental bombing of the Chinese embassy in Belgrade had shocked the world and outraged the Chinese. It was a tense and stressful atmosphere the morning the conference was scheduled to open.

Fortunately, NBR had long working relations with important Chinese officials and scholars, and had succeeded in impressing one of China's most prominent elder statesmen with the importance of this project. Wang Daohan, the former
See President's Message pg. 2 ▶

Leading Chinese Officials Attend Conference on Intellectual Property Rights in Shanghai

The protection of intellectual property rights (IPR) encourages technological innovation and boosts economic development.

This was the message that emerged at NBR's IPR conference on May 11–12 in Shanghai. And it is one that is finding receptive ears among some prominent Chinese officials.

NBR and the Shanghai Academy of Social Sciences (SASS) co-hosted the conference, entitled "Intellectual Property Rights and Economic Development in Shanghai and the Lower Yangzi Region."

In the keynote speech at a May 12 dinner, former Shanghai Mayor Wang Daohan said that protecting intellectual property was important for China's economic development.

An elder statesman and political mentor to Jiang Zemin, Dr. Wang is currently President of the Association for Relations Across



Compaq's Russell Wong presents an innovation award to a delegate from Anhui province as NBR President Kenneth Pyle looks on.

the Taiwan Strait and Honorary President of the Shanghai Municipal Center for International Studies.

Dr. Wang was introduced by his old acquaintance, NBR's IPR program Codirector Michel Oksenberg.

Following the speech, NBR President Kenneth Pyle gave a toast in honor of former

See Shanghai IPR pg. 4 ▶

Meeting Concludes Caspian Energy-Conflict Program

International Participants Focus on Critical Policy Issues

Competition for energy resources, proliferation of weapons of mass destruction, and the development pathologies of oil-boom states were among the themes discussed at the concluding conference of NBR's project, *Energy and Conflict in Central Asia and the Caucasus*.

The conference, which was attended by more than 40 area specialists, energy-sector analysts, journalists, and government officials, was held April 20–21 at the United States Institute of Peace in Washington, D.C.

A luncheon roundtable discussion, "Corporate Perspectives on Security in the Caspian Region," was held in conjunction with the conference.

Panelists for the roundtable were Michael Townshend, Director of International Affairs, BP-Amoco, and Willy Olsen, Vice President for Corporate Strategy and Development, Statoil.

NBR and Rowman and Littlefield will copublish a book based on conference papers, edited by principal investigators Rajan Menon, Professor of International Relations at Lehigh University, and Robert Ebel, Director of the Energy and National Security Program at the Center for Strategic and International Studies.

See Caspian Energy-Conflict pg. 4 ▶

NBR Initiates Executive Briefing Program

A Time-Saving Service, Says Director of Corporate Relations

NBR launched a program in April to offer executives and policymakers access to the expertise of the world's leading specialists on Asia.

The "Executive Briefing Program," provides on-demand briefings on Asia policy issues.

"This is a time-saving service for many companies," said NBR Director of Corporate Relations and Development Brigitte Gort-Allen. "Companies only have to identify the research topic and NBR takes care of the rest."

For example, she said, companies can rely on NBR to identify appropriate specialists, arrange travel and venue, and handle other logistics issues.

Underscoring NBR's long experience in this area, Ms. Gort-Allen said, "NBR's been doing this for many years, mostly on an ad hoc basis. We're just now formalizing these activities into a specific program as mandated by the Board of Directors."

This long experience, she said, in addition to NBR's extensive network of Asia specialists, is a major advantage. As an ex-

ample, Ms. Gort-Allen pointed to the over 3,000 specialists profiled by NBR's AccessAsia program.

AccessAsia, founded in 1990, maintains a comprehensive database of Asia specialists and their research.

"Even companies with an in-house research staff would benefit," said NBR Executive Director Richard Ellings, "because the program allows them to rotate specialists to gain fresh and different perspectives."

In a recent example, NBR organized a briefing for a group of senior-level executives on India-Bangladesh relations. The day-long session involved two of America's top specialists on the South Asia region, Dr. Craig Baxter of Juniata College in Pennsylvania and Dr. Stanley Kochanek of Pennsylvania State University.

The format of the briefings varies according to the audience size. Small groups of three to five or mid-sized groups of 10 to 50 may be briefed by two to three specialists, while multi-panel briefings may involve several groups of specialists for a much larger audience. (NBR)

"Companies only have to identify the topic and NBR does the rest."

AccessAsia Interns to Visit Seoul and Jakarta

NBR's AccessAsia recently received a grant from the Freeman Foundation to expand opportunities abroad for American students of Asian affairs in the AccessAsia Global Consortium Internship Program.

Through the program, interns travel to Asia to serve as liaisons between NBR and members of the Global Consortium, an international group of top Asian research institutions. At the host institution, interns assist consortium members in all aspects of the AccessAsia project.

AccessAsia catalogs and profiles Asia specialists around the world. Global Consortium members are partners in this endeavor.

Two Global Consortium internships are scheduled for this year. In July, Curtis Gartenmann, a graduate student in Korean studies at the University of Washington, will

travel to Korea for three months to assist the Center for International Studies (CIS) at Seoul National University.

In September, another University of Washington graduate student, Eric Thompson, will spend two months at the Center for Strategic and International Studies (CSIS) in Jakarta.

"I'm looking forward to working with CSIS. It'll be a great opportunity to develop my language skills and learn more about the academic community in Jakarta," Thompson said.

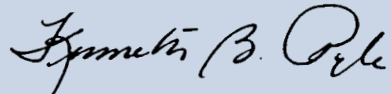
NBR is very grateful to the Japan Foundation's Center for Global Partnership (CGP), the Freeman Foundation, the Henry M. Jackson Foundation, and the Microsoft Corporation for their support of AccessAsia and the AccessAsia Global Consortium. (NBR)

"Interns serve as liaisons to top Asian research institutions."

► *President's Message (cont.)*

mayor of Shanghai with close ties to the nation's president and prime minister, and a leading reformer and advocate of economic development, was to address our conference. I believe it was through his patronage that NBR's conference was allowed to proceed.

As it turned out, the week-long conference was indeed a fitting symbol of the progress NBR has made over these ten years. The conference, on a subject of great importance to the integration of China into the international economy, was attended by hundreds of Chinese officials, corporate executives, and legal scholars. NBR had succeeded in meeting unusual challenges to hold such a significant and promising event. It bode well for the potential of our organization to play a significant role in promoting American interests in Asia.



Kenneth Pyle
President

Recent NBR Publications



NBR Analysis

- *Japan and the Unification of Korea: Challenges for U.S. Policy Coordination*, by Michael H. Armacost and Kenneth B. Pyle, vol. 10, no. 1 (March 1999).
- *Intellectual Property Rights in China: Evolving Business and Legal Frameworks*, essays by Barry Naughton and Donald Clarke, vol. 10, no. 2 (April 1999).

NBR Executive Insight

- *Pension Management and Reform in China*, by Loraine A. West and Daniel Goodkind, no. 15 (March 1999).

AccessAsia Review

- *Nuclear Developments in India and Pakistan*, by Neil Joeck, vol. 2, no. 2 (July 1999).

www.nbr.org

- Essays from NBR's IPR conferences in Chongqing and Shanghai. Use the "Regional Studies" button.

NBR 1989–1999: A Decade in Pictures



◀ Sen. Henry Jackson presents his plan for an East Asia institute in 1980 to (l-r) Dr. Kenneth Pyle, Eddie Carlson, United Airlines CEO; and T. Wilson, Boeing Chairman and CEO. NBR was founded nine years later.



◀ Vladimir Lukin, Robert Scalapino, and Dr. Pyle pose for a group portrait following a 1990 NBR conference on East Asian and Soviet political reform in Seattle. Dr. Lukin, now chairman of the Russian Duma's International Affairs Committee, was ambassador to the United States; Dr. Scalapino is at the University of California, Berkeley.

▶ Sens. William Roth and Slade Gorton, and Amb. Arthur Hartman at an NBR conference on the changing East Asian balance of power at the Capitol in 1991.



▼ Then-Sen. William Cohen speaking at the 1996 Presidential Campaign Debate as former Congressman Stephen Solarz looks on.



▲ Dr. Richard Ellings shares a laugh with Michael Armacost, while Robert Zoellick and Stephen Solarz continue their debate in the background following the 1996 Presidential Campaign Debate on Asia policy in Washington. Dr. Armacost, President of The Brookings Institution, was the moderator. Mr. Zoellick is a former Assistant Secretary of State; Mr. Solarz is a former Congressman.



▼ A panel at the 1998 East Asia in Crisis conference in Seattle consisting of (l-r) Larry Clarkson, then-President, Boeing Enterprises; John Rindlaub, Chairman, Seafirst Bank; Ronnie Chan, Chairman, Hanglung Development; James Fitzgerald, Senior Vice President, Weyerhaeuser Japan; and Greg Maffei, CFO, Microsoft.



▲ A working group convenes during a U.S. China Policy meeting at the State Department in 1991. Dr. Richard Solomon, President of the U.S. Institute of Peace, is in the foreground. To his left is Arthur Hummel, former Ambassador to China; Harry Harding, Dean of the Elliott School at George Washington University; and Doug Paal, President of the Asia Pacific Policy Center. To Dr. Solomon's right is Dr. Nicholas Lardy of The Brookings Institution; and Dr. Ken Lieberthal, currently Senior Director for Asian Affairs at the National Security Council.



▲ Roderick Steedman, a Vice President at Sun Microsystems, speaks with Chongqing Mayor Pu Haiqing, during the 1998 IPR conference in Chongqing.



Secretary Cohen and I have engaged in several events at NBR over the past several years. We have been impressed with the work of NBR, which has continued to provide an essential and insightful forum for discussion of Asian issues that are becoming increasingly critical to U.S. national security interests.

—Kurt M. Campbell,
Deputy Assistant Secretary of Defense,
Asian and Pacific Affairs

See *A Decade* pg. 6 ▶

► *Shanghai IPR (cont.)*

SASS President Dr. Zhang Zhongli, signifying close ties between the two institutions.

Dr. Zhang received his PhD in history at the University of Washington, where Dr. Pyle is Professor of Japanese History and International Studies.

The dinner was held at the Jin Jiang Hotel banquet hall, the same room where President Richard Nixon signed the 1972 Shanghai Communiqué establishing the groundwork for relations between the People's Republic of China and the United States.

The conference was a part of NBR's initiative *Advancing Intellectual Property Rights in China*. Dr. Oksenberg, a Senior Fellow at Stanford University's Asia/Pacific Research Center, and Dr. Pitman Potter, Director of Chinese Legal Studies at the University of British Columbia, are codirectors of the program.

Nearly 200 Chinese scholars and corporate executives and their American counterparts, as well as Chinese officials, participated in the events, which took place in the shadow of the tragic accidental Chinese embassy bombing in Belgrade on May 7.

The conference was held in SASS's auditorium and featured six panel discussions covering the topics of protecting intellectual property and resolving disputes.

Chinese panelists and speakers were well represented on the conference discussion panels. Representing SASS were Vice



From left to right, former Shanghai Mayor Wang Daohan, Dr. Cheng Tianquan and Dr. Zhang Zhongli of SASS, and other participants talk following Dr. Wang's dinner speech. IPR Program Codirectors Pitman Potter and Michel Oksenberg look on from the background.

Presidents Shen Guoming and Cheng Tianquan, and Dr. Zhang Zhongli. The Shanghai Administrative Cadre Institute of Politics and Law was represented by its President Pan Guohe, Vice President Tang Zhenxi, and Professor Jiang Po.

Among other Chinese participants were Dr. Chen Naiwei, Dean, Shanghai Jiaotong University School of Law; Fu Jiang, Bao Shan Steel Group; Huang Zhongquan, Director, Jiangsu Intangible Assets Valuation Office; and Professor Yan Yiming, Deputy Director, Anhui Provincial Patent Bureau.

On the U.S. side, conference panelists and speakers included: Michael Hartogs, IP

Director, Iomega Corporation; Professor Keith Maskus, University of Colorado; Cheree McAlpine, Chief Counsel, Sun Microsystems; Joseph T. Simone, Johnson Stokes & Master; Barry Spector, Director-Asia, OEM Division, Microsoft Corporation; and Russell T. Wong, IP Director, Compaq Computer Corporation.

Discussions were followed by a training seminar at the Shanghai Administrative Cadre Institute of Politics and Law on May 13–14, co-hosted by NBR, the cadre institute, SASS, and the Asia Pacific Legal Institute. Paul Liu and Andy Sun, APLI's President and Executive Director, respectively, led the seminar.

Following this successful conference, NBR plans to hold a national conference in Beijing next year, as well as judicial and corporate training exchanges and joint research and publications. A website in English and Chinese with more information on the program, as well as conference papers, can be found on NBR's homepage (www.nbr.org), in the "Regional Studies" section. ^(NBR)

► *Caspian Energy-Conflict (cont.)*

Several papers from the project will also be published this summer in the *NBR Analysis*.

The conference was made possible by generous grants from the United States Institute of Peace and the Monterey Institute of International Studies. ^(NBR)

Caspian Energy Conference Participants Examine Broad Range of Issues

Conference participants focused on a number of issues critical to U.S. interests in the region, including the following key points (see story on page 1):

- Without bureaucracies committed to the rule of law, established civil societies, and clear distinctions between regulators, corporations, and policymakers, energy revenues are unlikely to stimulate economic growth or benefit broad segments of the population.
- Unless there is a shock to the global oil market, the Caspian region is likely to become a second-tier concern for U.S. policymakers. The region will gain importance in the foreign policy considerations of Asian nations, especially China.
- With no mechanism for presidential succession in Azerbaijan, leadership change is likely to be accompanied by domestic instability or civil war.
- In Kazakstan, the state increasingly relies on foreign companies to meet the social needs of the population. As foreign companies take on basic state functions, blame for unmet needs is transferred to the companies—a situation that is likely to undermine the position of the companies and of the state itself.
- Civil war in Afghanistan and a likely Taliban victory, combined with continued development of Pakistan's nuclear capabilities, will further spur Iran to develop nuclear weapons. This development will have repercussions throughout the Caucasus, and particularly in Turkey.
- Western oil companies are developing outreach programs in the Caspian region, hoping to reduce the risk of future instability. ^(NBR)

Colleagues and Friends

NBR welcomed several new Congressional members to its Board of Advisors this year, including: **Senators Max Baucus**, D-Montana; **Christopher Bond**, R-Missouri; **John Chafee**, R-Rhode Island; **James Inhofe**, R-Oklahoma; **Daniel Inouye**, D-Hawaii; **Representatives Brian Baird**, D-Washington; **Shelley Berkley**, D-Nevada; **John Cooksey**, R-Louisiana; **Julian Dixon**, D-California; **Eni F.H. Faleomavaega**, D-American Samoa; **Paul Gillmor**, R-Ohio; **Tony Hall**, D-Ohio; **Doc Hastings**, R-Washington; **Jay Inslee**, D-Washington; **Donald Manzullo**, R-Illinois; **Matthew Martinez**, D-California; **Michael McNulty**, D-New York; **Jack Metcalf**, R-Washington; **Patsy Mink**, D-Hawaii; **Earl Pomeroy**, D-North Dakota; **Matt Salmon**, R-Arizona; **Mark Sanford**, R-South Carolina; and **Curt Weldon**, R-Pennsylvania.

Kenneth Pyle, NBR President and Professor of Japanese History and International Studies at the University of Washington, was awarded in April the "Order of the Rising Sun, Gold Rays with Neck Ribbon," by the Emperor of Japan.

NBR at a Glance

Founder Group to Support NBR Programs

NBR is pleased to announce Founder Group's generous support for NBR and its research programs.

Founder is a major Chinese software development and systems integration company begun in 1992 by Beijing University computer scientists. The group was formerly known as Beijing University New Technology Corporation.

Jiang Seng, Assistant General Manager and Marketing Representative, and Li Hui, Market Research Manager represented Founder at NBR's Shanghai IPR conference.

NBR Launches Program to Study Russian Far East Security

NBR's Eurasia Policy Studies program is developing a new project that will examine the impact of Russia's economic and political transition on issues of domestic and international stability.

The honor, awarded for the promotion of research in modern Japanese history, was announced by Prime Minister Keizo Obuchi when he visited the United States in May. Dr. Pyle was presented the honor at the official residence of the Consulate-General of Japan in Seattle.

Dr. Pyle was also honored in May at the Silver Anniversary Symposium of The Journal of Japanese Studies. He was founding editor of the journal from 1974 to 1986. The journal is published by the Society for Japanese Studies at the University of Washington.

Robert Zoellick, an NBR Board member, resigned from his position as President of the Center for Strategic and International Studies in Washington, D.C.

He is currently advising Texas Governor and GOP presidential candidate George W. Bush.

In commemorating NBR's 10th anniversary, NBR received generous donations to its endowment fund from **Limantzakis Properties**, **Musk Paper Company**, and **Norm Bolotin of Laing Communications Inc.** (NBR)

This interdisciplinary project will fill important gaps in the current understanding of: 1) the security environment in Northeast Asia; 2) the potential for collective violence in Russia; and 3) the possibility of confrontation between Russia and its neighbors.

The project will bring together a team of distinguished scholars from the United States, Russia, Japan, China, and South Korea to conduct original research and participate in meetings in Khabarovsk and Washington, D.C., in fall 1999 and spring 2000, respectively.

The Economic Research Institute of the Far Eastern Branch of the Russian Academy of Sciences (Khabarovsk) has agreed to be a collaborative partner in the project.

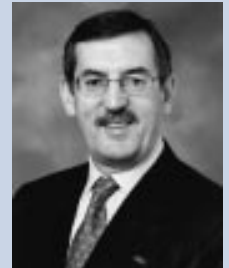
New Look for NBR

NBR bade a fond farewell to its old logo in January when it adopted the new "crescent" logo. The organization updated its appearance on the occasion of its 10th anniversary with a grant from the Henry M. Jackson Foundation. (NBR)

NBR Welcomes Boeing and Ford Executives to Board

NBR's Board of Directors elected two new members to its ranks this winter. Henry Wallace of the Ford Motor Company joined the board in January and Christopher Hansen of the Boeing Company joined in March.

Mr. Wallace is currently Group Vice President, Asia Pacific Operations and Associations, a position he has held since January. His previous positions include Chief Financial Officer for Ford of Europe and President of Mazda Motor Corporation. Ford is a major Mazda shareholder.



Henry Wallace

Mr. Wallace has been with Ford since 1971, when he was assigned to Ford of Europe. He holds a BA (Honors) in economics from the University of Leicester in England.

Mr. Hansen currently serves as Senior Vice President of Boeing's Washington, D.C., Operations.

He joined Boeing in 1986 as a member of its Congressional Affairs organization and was appointed Vice President, Congressional Affairs in 1993. He has also held positions as Company Vice President for the Washington, D.C., office, and Vice President of Government Affairs.



Christopher Hansen

Prior to joining Boeing, Mr. Hansen worked for General Dynamics' Washington office. He holds a BA from the University of Denver and a Masters of International Management from the American Graduate School of International Management.

Mr. Hansen replaces Larry Clarkson as Boeing's representative on NBR's Board of Directors. Mr. Clarkson will, however, continue to serve on the board in an independent role as its Vice Chairman. (NBR)

NBR Expands Internet Content and Services

The Henry M. Jackson Foundation recently awarded NBR a grant to expand contents and services on its website (www.nbr.org).


The one-year project, called "Bridging the Academic, Policymaking, and Corporate Communities through the Internet," will improve access to information on Asia and promote exchange and collaboration. With the new funding, NBR will:

- expand private email discussion forums where specialists in academe, government, and the private sector can discuss current Asia policy issues;
- develop the AsiaLinks Search Engine, a service which will allow users to search across the 300 plus Asia-related websites currently accessible from the NBR site;
- provide online access to all NBR publications.



NBR's new web page can be found at www.nbr.org.

These improvements coincide with another major development: beginning July 1, 1999, the entire AccessAsia database, containing information on over 3,000 Asia policy specialists worldwide, will be accessible from the NBR website at no charge. For the last two years the database has only been available to subscribers.

NBR remains grateful to the Japan Foundation's Center for Global Partnership (CGP) and to the Microsoft Corporation for their generous support of NBR's online services. 

▶ A Decade (cont.)

I congratulate NBR on ten very productive years. Its research is very high quality, and I have found participation in NBR programs invariably productive and instructive.

—Michael H. Armacost, *President, The Brookings Institution*

The NBR briefing with the Department of Defense was instrumental in providing Unocal with the U.S. government view of the political and financial crisis in Asia.

—John F. Imle Jr., *Vice Chairman, Unocal Corporation*

In the relatively short period of one decade, The National Bureau of Asian Research has established itself as a valuable source of information for the scholarly community.

—Robert A. Scalapino, *Professor, University of California-Berkeley*

Heartiest congratulations to NBR on its 10th anniversary! It has been an honor and privilege to be associated with the organization and its fine staff.

—Sheldon Simon, *Professor of Political Science, Arizona State University*



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