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Future of U.S.–Japan Scholarship in Question

NBR's nine-month study of the state of graduate training in U.S.–Japan security studies and international economics is now complete. Based on assessments of graduate programs at leading U.S. universities, an opinion survey of key U.S. and Japanese specialists, and discussions at a workshop held in Seattle, the findings show that: 1) scholarship is concentrated at a handful of universities; 2) research is the purview of a small core of specialists; and 3) insufficient support is available for training a successor generation of scholars.

Published in November 2001, the 120–page *Survey of U.S.–Japan Security Studies and International Economics* features a keynote article by Professor Richard Samuels of the Massachusetts Institute of Technology, and workshop papers from Professors T. J. Pempel (University of California at Berkeley), Akio Watanabe (Research Institute for Peace and Security), Masayuki Tadokoro (National Defense Academy), Motoshige Itoh (University of Tokyo), and Gary Saxonhouse (University of Michigan).

NBR is distributing the report to faculty, academic institutions, foundations, and appropriate government departments, as well as to participants in NBR's Japan–U.S. Email Discussion Forum. A Japanese translation is forthcoming, and the Japan Foundation Center for Global Partnership (CGP), the sponsor of the study, is distributing the report in Japan. (NBR)

Program Tracking Strategic Asia Launched in DC

On October 11, NBR marked the launch of its Strategic Asia Program with a briefing at the National Press Club in Washington, DC. Former chairman of the Joint Chiefs of Staff, General (Ret.) John Shalikashvili, Senator Chuck Hagel (NE), and Congressman Norm Dicks (WA), introduced the program to a packed audience during the hour-long press briefing.

The three speakers emphasized the program's usefulness to researchers and strategic planners in government and the private sector. Senator Hagel commended the program's objectives of tracking the strategic capabilities of states in Asia, examining their perceptions and strategies, and providing a comprehensive view of regional challenges and opportunities for the United States. Program Research Director Professor Aaron Friedberg of



Senator Hagel, General (Ret.) Shalikashvili, and Congressman Dicks (pictured on p.2) introduce NBR's Strategic Asia Program. (Chester Simpson)

Princeton University (and currently Henry A. Kissinger Chair in Foreign Policy and International Relations at the Library of Congress) defined the concept of “strategic Asia” as the locus of global wealth and military power in the twenty-first century and emphasized the need to understand the com-

See *Strategic Asia* pg. 2

Track II Security Diplomacy in Southeast Asia

Almost a decade after the establishment of the Council for Security Cooperation in the Asia–Pacific (CSCAP), NBR is leading an assessment of its impact on nonofficial (Track II) approaches to security diplomacy in Southeast Asia. Sheldon Simon, professor of political science at Arizona State University and director of Southeast Asian Studies at NBR, presented the results of his research at the American Political Science Association convention in San Francisco last August. His study of the CSCAPs found that the distinction between official and nonofficial

activities is blurred to the point that it can affect scholarly objectivity. Nonetheless, the CSCAPs have achieved successes, such as a definition of preventive diplomacy, agreements on oceanic management, and a database tracking nuclear energy safety practices. Professor Simon's study, “Evaluating Track II Approaches to Security Diplomacy: the CSCAP Experience,” will appear as an article in *Pacific Review* in mid-2002. NBR is extremely grateful to the W. Alton Jones Foundation and the United States Institute of Peace for their support of the project. (NBR)

Strategic Asia (cont.)

plex and dynamic relationships in the region. Senator Hagel noted the strong connection between the objectives of the program and the legacy of Senator Henry M. Jackson.

The Strategic Asia Program provides annual reports, an online database of strategic indicators (see story on page 4), and briefings. The first 400-page report, *Strategic Asia 2001-02: Power and Purpose*, contains chapters on China, Japan, Korea, Russia, South Asia, and Southeast Asia, as well as a special study on ballistic missile development in Asia. At the briefing, Congressman Dicks described the book as crucial to strategic thinking in one of the most important areas of U.S. foreign policy.

While in DC, members of the Strategic Asia research team held a series of private briefings with staff of the National Security Council at the White House, as well as with senior staff members of the Senate Foreign Relations Committee and the House Inter-

national Relations Committee. The progress of the war against terrorism and its implica-



Congressman Norm Dicks at the Strategic Asia press briefing. (Chester Simpson)

tions for the region were prominent topics of discussion during these meetings.

Originally scheduled for September 20, the program launch was postponed due to the tragic events of September 11. In light of the terrorist attacks, the Executive Summary for the report was expanded and reprinted to incorporate immediate implications for the region.

For more details on the current volume, see *New NBR Books* (page 3). Researchers are now continuing work on strategic analyses for the program's second year, which will examine the effects of September 11 on the underlying relations of countries in the region.

NBR is extremely grateful to the funders who have helped turn the vision of the Strategic Asia Program into reality: the Henry M. Jackson Foundation, Center for Nonproliferation Studies at the Monterey Institute of International Studies, Lynde and Harry Bradley Foundation, Boeing Company, Microsoft Corporation, and Pacific Northwest National Laboratory. ^(NBR)

Recent NBR Publications

NBR Analysis

- "Japan and the Engagement of China: Challenges for U.S. Policy Coordination," by Michael H. Armacost and Kenneth B. Pyle (December 2001)
- "Russia and Global Security: Approaches to Nuclear Arms Control and Nonproliferation," by Igor Khripunov (August 2001)

NBR Books

- *Strategic Asia 2001-02: Power and Purpose*, edited by Richard J. Ellings and Aaron L. Friedberg (NBR, October 2001)
- *The Many Faces of Asian Security*, edited by Sheldon Simon (Rowman & Littlefield, August 2001)
- *Survey of U.S.-Japan Security Studies and International Economics* (NBR and the Center for Global Partnership, 2001)

To access or order these publications, please visit our website at:
<<http://www.nbr.org/publications>>

Microsoft Senior V. P. Joachim Kempin Honored at Seattle Asian Art Museum

Dr. Mimi Gates, director of the Seattle Asian Art Museum, and Mr. William Gates, Sr., CEO of the Bill and Melinda Gates Foundation, helped NBR mark the retirement of board member Joachim Kempin, senior vice president of Microsoft's Original Equipment Manufacturer (OEM) Division, at a dinner held at the museum on October 2. Joining Mr. Kempin at the event were his wife Linda, and his daughters, Svenja and Jessica. Following a tour of the museum, Dr. and Mr. Gates addressed members of NBR's board of directors and selected guests. During dinner, NBR Chairman George Russell thanked Mr. Kempin for his incredible support and presented him with a gift crafted by glass artist Dale Chihuly.

In his position at Microsoft, Mr. Kempin oversaw all worldwide OEM sales, market-

ing, and support activities. He was responsible for developing Microsoft's relationships with PC manufacturers and distribution partners worldwide, as well as the licensing of desktop operating systems and other Microsoft products for hardware vendors. Mr. Kempin has served on NBR's board since 1994 and is succeeded at Microsoft and on NBR's board by Mr. Richard Fade.

Prior to the dinner, former U.S. national intelligence officer for Asia, Robert Sutter, spoke to NBR's board of directors about the implications of the tragic events of September 11 for U.S.-China

relations and about the impending leadership transition in China. Dr. Sutter, one of America's top China specialists, is now professor of foreign service at Georgetown University. ^(NBR)



Jessica and Joachim Kempin at the Seattle Asian Art Museum dinner.

New NBR Books


Russia's Far East: A Region at Risk

NBR's forthcoming volume *Russia's Far East: A Region at Risk* comprehensively assesses several major transnational challenges to, and opportunities for, Russian and Northeast Asian security. The Russian Far East has significant reserves of oil and natural gas that could help meet East Asia's demands for energy, as well as to help fuel the region's integration into the Asia Pacific economy. However, poor infrastructure, a difficult business environment, and Russian concerns about becoming a "resource appendage" to the developed world have frustrated attempts to develop those resources.

The residual concentration of nuclear facilities in the Russian Far East makes the threat of radioactive contamination and the diversion of radioactive materials—from nuclear accidents, theft, unauthorized dumping, or improper disposal of wastes—particularly acute regional problems with obvious security implications. In addition, the concentration of organized gangs, and weak state authority in Russia's "Wild East" increase the possibility that the Russian Far East might become a major transit point for narcotics, weapons, and other transnational criminal activities. Illegal population migra-

tion, a potentially major problem given the proximity of China with its huge number of poor citizens and increasingly open borders, also poses significant security threats to the region.


In this volume, an interdisciplinary team of specialists from the United States, Russia, China, Japan, and Korea examines these challenges and Russia's incremental efforts to come to grips with the new security milieu. The contributors address the vital questions of how to achieve a stable political order in the Russian Far East, how to further economic growth in the region, and how to promote efforts to link Russia and the Asia-Pacific powers into a cooperative framework of diplomatic relations.

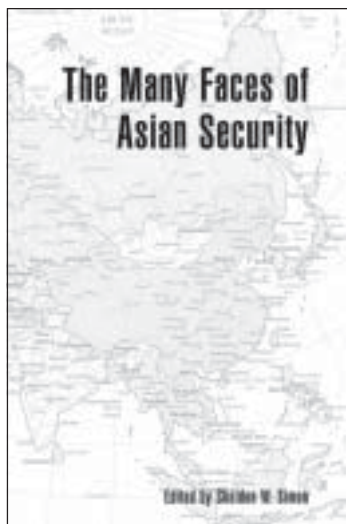
The volume is edited by Judith Thornton, professor of economics at the University of Washington, and Charles Ziegler, chair and professor of political science at the University of Louisville, and is published by NBR and the University of Washington Press. 

The Many Faces of Asian Security


In this rich collection of essays, experts analyze a comprehensive range of factors affecting the nature of security in the Asia Pacific region—economic stability after the

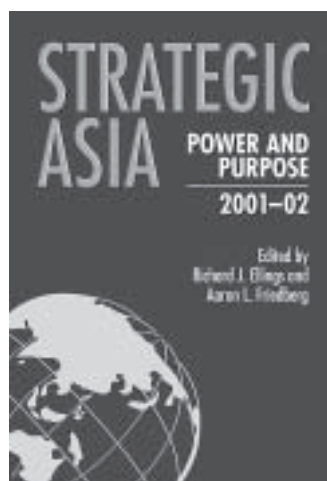
Asian financial crisis, spillover effects of environmental degradation, human rights, political stability, transnational crime, military capabilities, balance of power, territorial/resource disputes, new technology's effect on military strategy, and the changing nature of sovereignty in the face of globalization. Dr. M u t h i a h Alagappa, director at the East-West Center, says the volume "draws at-

tention to the changing nature of traditional security as well as the growing salience of the nonconventional dimensions of Asian security," and calls it "of interest both to the specialist and the general reader." Edited by Sheldon Simon, professor of political science at Arizona State University and director of Southeast Asian Studies at NBR, the volume is published by Rowman & Littlefield. 



Strategic Asia 2001–02: Power and Purpose

The first in an annual series of independent assessments of the strategic situation in Asia, the volume provides an authoritative, independent source of information and analysis for strategists in policymaking, media, business, and academe. The nation's top international relations experts outline the current strategic environment in the Asia Pacific and then ask what "strategic Asia" will look like in five to ten years. The book includes chapters from Aaron Friedberg (Introduction), Thomas Christensen (China), Nicholas Eberstadt (Korea), Rajan Menon (Russia), Kenneth Pyle and Eric Heginbotham (Japan), Sheldon Simon (Southeast Asia), Ashley Tellis (South Asia), and Michael Swaine (ballistic missile programs in Asia). Through innovative, long-term forecasts, the authors transcend traditional estimates of military balances by incorporating economic, political, and demographic data, and focus on the strategies and perceptions that drive policy in the region. For further information on the Strategic Asia Program, please see the article on page 1. 



tion, a potentially major problem given the proximity of China with its huge number of poor citizens and increasingly open borders, also poses significant security threats to the region.

Ordering information available online at
<http://www.nbr.org/publications/books/>

New Online Research Tool: Versatile Strategic Indicators for Asia

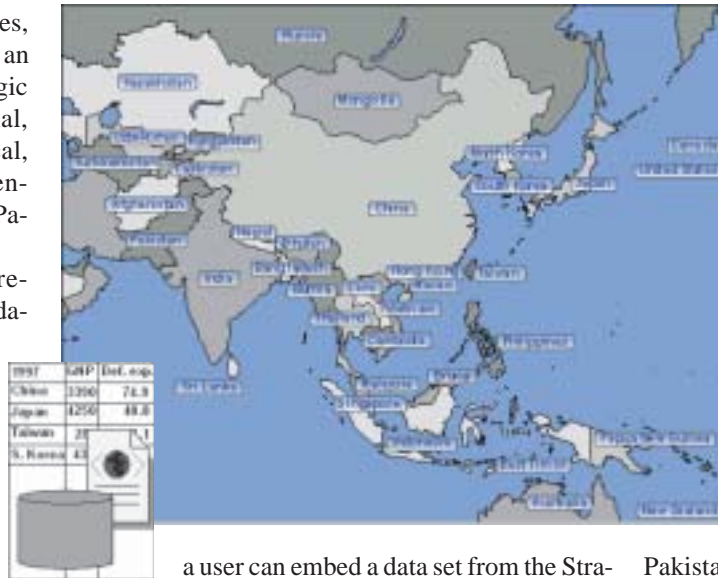
At the Strategic Asia press briefing (see page 1) Karolos Karnikis, director of information management and technology at NBR, unveiled the Strategic Asia website. This online resource features a new generation database that provides, in one place and free of charge, an unprecedented range of strategic indicators—economic, financial, military, technological, political, demographic, and environmental—for countries in the Asia-Pacific region.

A unique and powerful research tool, the Strategic Asia database enables users to download reports, graphs, and statistics. To maximize accessibility, the database is hosted on the program's website at <http://strategicasia.nbr.org>, which is also used for distributing the annual report globally and for year-round responses to sudden developments. The web database is based on XML and is implemented using Microsoft's .NET platform. XML (eXtensible Markup Language) is the emerging *lingua franca* for sharing data across multiple applications on diverse plat-

forms. Presenting data in an XML format allows users, organizations, businesses, and other websites to dynamically link to all or part of the program's data set. For example,

standard for dynamic data integration, NBR will be able to seamlessly incorporate data from primary sources.

Indicators for each country are divided into twelve broad thematic areas: national economy; foreign trade; foreign investment and assistance; government spending; population; energy and environment; information infrastructure; conventional military forces; nuclear, biological and chemical forces; force projection; politics; and international relations. The database covers: Afghanistan, Australia, Bangladesh, Bhutan, Brunei, Burma, Canada, Cambodia, China, East Timor, Hong Kong, India, Indonesia, Japan, Kazakhstan, Kyrgyzstan, Laos, Macao, Malaysia, Mongolia, Nepal, New Zealand, N. Korea,



a user can embed a data set from the Strategic Asia website in an Excel spreadsheet on his/her local computer and thus always have access to the most current data. The user also has access to metadata—information about the data—such as an indicator's original source and the date it was last updated. As XML becomes the world's stan-

Pakistan, Papua New Guinea, Philippines, Russia, Singapore, S. Korea, Sri Lanka, Taiwan, Tajikistan, Thailand, Turkmenistan, United States, Uzbekistan, and Vietnam.

NBR is especially grateful to Microsoft Corporation for its support for the development of the Strategic Asia website and the online database. (SM)

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New NBR Directors Welcomed on Board

Richard Fade, senior vice president of Microsoft's Original Equipment Manufacturer (OEM) Division, is responsible for the corporation's relationships with manufacturers of personal computers and non-PC devices, including multinational and regional OEM accounts and embedded systems manufacturers. Mr. Fade joined Microsoft in 1986 and has held several management positions within Microsoft's OEM division both in the United States and in Asia. His record at Microsoft includes service as vice president for both the Far East region and the Microsoft desktop applications division.



Arnold F. Wellman, corporate vice president of Public Affairs for United Parcel Service (UPS), is responsible for all domestic and international government affairs activities for the more than 200 countries and territories that UPS serves. In addition to his 28 years with UPS, he served three terms (1977-82) as a state legislator from Connecticut's 76th assembly district. Mr. Wellman currently serves on the boards of Transportation Research, U.S.-ASEAN Business Council, National



Center for A P E C Business Council, Public Affairs Council, and U.S. Council for International Business.

Rudy F. de Leon leads all federal, state, and local government-relations operations as Senior Vice Presi-



dent for the Boeing Company's Washington, DC, office. He joined Boeing in July 2001 after more than 25 years of senior leadership experience in the executive and legislative branches of the U.S. federal government. Mr. de Leon served as staff director of the House Committee on Armed Services, and from March 2000 to March 2001 as Deputy Secretary of Defense.



Martin B. Zimmerman, group vice president of corporate affairs, joined the Ford Motor Company in 1987 as its chief economist.

Previously, he was a professor and chairman of the Business Economics Department at the University of Michigan's Graduate School of Business Administration. He has also served as senior economist on the President's Council of Economic Advisors, on the Panel of Economic Advisors to the Congressional Budget Office, and on the Advisory Council of NASA. Dr. Zimmerman holds a Ph.D. in economics from MIT.

Colleagues and Friends

Muthiah Alagappa, Asian security specialist at the East-West Center, is now director at the new Center branch in Washington, DC. **John W. Creighton** recently became chairman of United Airlines. He will remain non-executive chairman of Unocal Corporation. **Mark Frazier**, former director of research at NBR, has accepted a position as assistant professor of government at Lawrence University in Appleton, Wisconsin. **Vaughn A. Koshkarian**, NBR board member and vice president of Ford Asia Pacific, retired at the end of 2001 after more than 33 years at Ford Motor Company. Succeeding him on NBR's board is **Martin B. Zimmerman**, group vice president of Corporate Affairs at Ford.


NBR would like to welcome new Board of Advisors members:

Aaron L. Friedberg, professor of politics and international affairs and director of Princeton's Research Program in International Security at the Woodrow Wilson School, currently holds the Henry A. Kissinger Chair in Foreign Policy and International Relations at the Library of Congress. Professor Friedberg is a former fellow at the Smithsonian Institution's Woodrow Wilson International Center for Scholars, the Norwegian Nobel Institute, and Harvard University's Center for International Affairs. **Kenneth Lieberthal** is professor of political science and William Davidson Professor of Business Administration at the University of Michigan, as well as a research associate at the Center for Chinese Studies and a faculty associate of the Center for Russian and East European Studies. Dr. Lieberthal, a recent visiting fellow at the Brookings Institution, has also served as special assistant to the president, and as the National Security Council's senior director for Asian Affairs. **Judith Thornton**, professor of economics at the University of Washington, specializes in the transition of Russia and other post-communist economies. Dr. Thornton is founding head of the International Advisory Board for the Eurasia Foundation Program on Economics Education and Research in Russia and a member of the Board of Directors of the Foundation for Russian-American Economic Cooperation. 

NBR Staff News


Eiko Ikegaya, codirector of Japanese and Southeast Asian studies at NBR, has recently taken a new position with the UN Secretariat in New York. She will work as a political affairs officer for Iran in the Asia and Pacific Division of the Department of Political Affairs. Eiko will closely follow political developments, provide analysis, prepare briefing materials for the Secretary-General and other senior officials, and support preventative diplomacy and peace-making efforts. Eiko joined NBR in 1999.

In August, **Jennifer Linder** left NBR after nearly seven years of service. Jen worked for five years as office manager and executive assistant, then took on other responsibilities including managing grants and contracts, editing and publication production, subscription management, and website administration. She plans to pursue a master's degree in education in order to become a French language teacher.

We wish both Eiko and Jen success in their future endeavors. 

NBR at a Glance: Upcoming Programs

International Internship Program Continued: NBR is pleased to announce the continuation of the "Freeman AccessAsia International Internship Program." Since 1998, this program has sent American graduate students to NBR partner institutions in Asia to assist with AccessAsia, a project that tracks the current research and expertise of the world's leading specialists on contemporary Asian affairs. Interns assist with data collection, recruit local Asia experts, and conduct database training. Freeman Foundation funding will allow two graduate students to participate in the program in 2002. Previous internships took place in Indonesia, Japan, Korea, and Taiwan.

China and the Global Revolution: Through globalization, China and the West meet as never before. NBR investigates the phenomenon in terms of its practical effects and moral questions in a forthcoming television broadcast on PBS. The documentary depicts globalization as seen through the lives and experiences of those who are being most radically affected by it—traveling from the factories of China's entrepreneurial south, to remote regions of its wild west, to Shanghai in search of stories from every level of society. Human drama is interwoven with the analyses of specialists in order to explore one of the most pressing and explosive public policy issues of the day. 



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